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PATENT  
ATTORNEY DOCKET NO. 10498-00027

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
	)	
Kenneth H. Falchuk	)	Examiner:
	)	
Serial No.: 09/977,866 ✓	)	Art Unit: 1614
	)	
Filed: October 15, 2001	)	
	)	
Title: COMPOUNDS AND METHODS FOR	)	
REGULATING CELL DIFFERENTIATION	)	

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Dear Sir:

Responsive to the Notice to File Missing Parts of Application under 37 CFR 1.53(b) mailed November 28, 2001 (a copy of which is enclosed), Applicant as a small entity submits herewith the following:

Payment of the basic filing fee of \$370.00;

Payment of the additional/multiple dependent claims fees of \$278.00;

A Combined Declaration and Power of Attorney in compliance with 37 CFR 1.63;

Transmittal of twelve (12) sheets of formal drawings; and

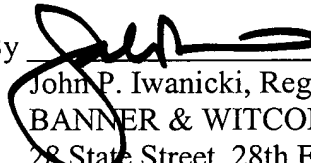
Payment of the surcharge of \$65.00 for late filing of the basic filing fee and declaration.

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It is understood that this perfects the application and no additional papers or filing fees are required. Please apply the instant filing fees, in the amount of \$713.00, and any other charges or any credits, to Deposit Account No. 19-0733. A duplicate of this paper is enclosed.

Respectfully submitted,

Dated: January 28, 2002

By   
John P. Iwanicki, Reg. No. 34,628  
BANNER & WITCOFF, LTD.  
28 State Street, 28th Floor  
Boston, MA 02109  
(617) 227-7111

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,866	10/15/2001	Kenneth H. Falchuk	010498-00027

CONFIRMATION NO. 3736

## FORMALITIES LETTER



\*OC000000007129262\*

John P. Iwanicki  
BANNER & WITCOFF, LTD.  
28th Floor  
28 State Street  
Boston, MA 02109

Date Mailed: 11/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$278.
 

<ul style="list-style-type: none"> <li>\$54 for 6 total claims over 20.</li> <li>\$84 for 2 independent claims over 3.</li> <li>\$140 for multiple dependent claim surcharge.</li> </ul>	02/05/2002 HBERHE 00000060 190733 09977866 01 FC:201 370.00 CH 02 FC:205 65.00 CH 03 FC:203 54.00 CH 04 FC:202 84.00 CH 05 FC:204 140.00 CH
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- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 713.

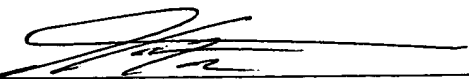
The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

09977866-012802

*A copy of this notice MUST be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

09977866-012802



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ATTORNEY DOCKET NO. 10498-00027

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Kenneth H. Falchuk ) Examiner:  
Serial No.: 09/977,866 ) Art Unit: 1614  
Filed: October 15, 2001 )  
Title: COMPOUNDS AND METHODS FOR )  
REGULATING CELL DIFFERENTIATION )

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Attention: Official Draftsman

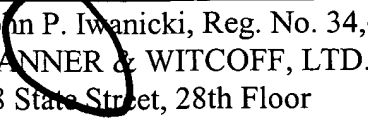
TRANSMITTAL OF FORMAL DRAWINGS

In response to the Notice to File Missing Parts mailed November 28, 2001, please substitute the enclosed twelve (12) sheets of formal drawings for the corresponding drawings presently in the application.

Please apply any other charges or credits to our Deposit Account No. 19-0733.

Respectfully submitted,

Dated: January 25, 2002

  
John P. Iwanicki, Reg. No. 34,628  
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